

Docket No. (Optional)  
PPD 50093/UST

## DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

ANTIFUNGAL PROTEINS,

the specification of which

       is attached hereto

X was filed on 12 December 1996 as PCT International Application Number  
PCT/GB96/03065 and was amended on (if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)			Priority Claimed	
Number	Country	Filing Date	Yes	No
9525474.4	United Kingdom	13/12/1995	X	

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below.

Application Number	Filing Date

## DECLARATION FOR PATENT APPLICATION

Page 2 of 3

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) or PCT International Application(s) designating the United States of America listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application(s) in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application(s) and the national or PCT international filing date of this application.

Application Number	Filing Date	Status

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Joel G. Ackerman, Reg. No. 24,307; Marian T. Thomson, Reg. No. 31,432; William E. Dickheiser, Reg. No. 30,169; David J. Roper, Reg. No. 32,753; Michael D. Alexander, Reg. No. 36,080; and Liza D. Hohenschutz, Reg. No. 33,712; Melissa Shaw, Reg. No. 38,301; Patrick Higgins, Reg. No. 39,709; Paul R. Darkes, Reg. No. 33,862.

Address all telephone calls to Marian Thomson at telephone number .

Address all correspondence to Marian Thomson  
ZENECA Inc.  
1200 South 47th Street  
Richmond, California 94804-4610

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Genoveva Wivina De SAMBLANX

Full name of fourth, if any (given name, family name)

Inventor's signature Genoveva Wivina De Samblanx Date 4<sup>th</sup> July 1993  
 Residence Heverlee, Belgium Citizenship Belgian  
 Post Office Address Willem de Croylaan, 42, B3001 Heverlee, BELGIUM

Willem Frans BROEKAERT

Full name of fifth inventor, if any (given name, family name)

Inventor's signature Willem Frans Broekaert Date 29<sup>th</sup> April 1993  
 Residence Dilbeek, Belgium Citizenship Belgium  
 Post Office Address Kluizenbosstraat 26, B-1700 Dilbeek, Belgium

DECLARATION FOR PATENT APPLICATION

Page 3 of 3

Full name of fifth inventor, if any (given name, family name) Sarah Bronwen REES  
Inventor's signature Sarah Bronwen REES Date 16<sup>th</sup> June 1998  
Residence Bracknell, Berkshire Citizenship British  
Post Office Address 32 Micheldever Way, Forest Park, Bracknell, Berkshire RG12 3XX, United Kingdom

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicant:** Broekaert, et al.

**Serial No.:** 09/077,951

**Examiner:** H. Robinson

**Filed:** March 11, 1999

**Entitled:** Antifungal Proteins

**Group Art Unit:** 1653

**Attorney Docket No.:** SYN-034

Director of Patents  
Washington, D.C. 20231

**CERTIFICATE OF FIRST CLASS MAILING UNDER 37 CFR § 1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed Director of Patents, Washington, D.C. 20231 on the date indicated below.

Date: May 4, 2001

  
Patricia Corrigan

**ASSOCIATE POWER OF ATTORNEY (37 C.F.R. §1.34)**

Sir or Madam:

Please recognize each of the following as an Associate Attorney in this case.

Scott M. Alter	32,879	Wayne A. Keown, Ph.D.	33,923
Hollie L. Baker	31,321	Ann-Louise Kerner, Ph.D.	33,523
Barbara A. Barakat	32,190	Janice M. Klunder, Ph.D.	41,121
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Gregory S. Discher	42,488	Irah H. Donner	35,120

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David Cerveney	44,600	David Cavanaugh	36,476
Irah H. Donner	35,120	Gregory S. Discher	42,488
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Kindly note that the Attorney Docket Number has changed to SYN-034.

Respectfully submitted,

Date: 27 Apr 2001

Marian Thomson Flattery  
Marian Thomson Flattery  
Reg. No. 31,432  
Attorney of Record

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## PATENT APPLICATION

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## ASSIGNMENT.

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S), Willem Frans BROEKAERT, Genoveva Wivina De SAMBLANX aand Sarah Bronwen REES

hereby sell(s), assign(s) and transfer(s) to ASSIGNEE, ZENECA Limited, a company created and existing under the laws of England and Wales, having its Head Office at 15 Stanhope Gate, London, W1Y 6LN England, and the successors, assigns and legal representatives of the ASSIGNEE the entire right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled: ANTIFUNGAL PROTEINS

and which is found in

- (a)  U.S. patent application executed on even date herewith;
- (b)  U.S. patent application executed on \_\_\_\_\_;
- (c)  U.S. application serial no. filed on ;
- (d)  International application no. PCT/GB96/03065

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to Letters Patent any reissue or reexamination thereof;

ASSIGNOR(S) hereby covenant(s) that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenant(s) that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

Signed this

X 4<sup>th</sup> day of May, 1998

Genoveva Wivina De SAMBLANX  
Genoveva Wivina De SAMBLANX

WITNESS: Marleen Prinsen

DATE: 4th May, 1998

29<sup>th</sup> day of April 1998

WITNESS: Ruth Stohr

DATE: 4 Mei 1998

Willem Frans BROEKAERT Willem Frans Broekaert

WITNESS: Bart Thomma

DATE: 29<sup>th</sup> April 1998

WITNESS: Bruno Cammenga

DATE: 29<sup>th</sup> April 1998

16 day of June, 1998

Sarah Bronwen REES

WITNESS: R.M. Bryant

DATE: 16 - June 1998

WITNESS: John Smith

DATE: 16 - June 1998



Additional page(s) are attached hereto.